**1. Transmittal Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration**

The state should complete this Transmittal Title Page as part of its SUD Monitoring Protocol. This form should be submitted as the title page of all Monitoring Reports. The content of this transmittal table should stay consistent over time.

| **State** |  | Alaska |
| --- | --- | --- |
| **Demonstration Name** |  | Alaska Substance Use Disorder and Behavioral Health Program (SUD -BHP) (Project Number: 11-W-00318/0) |
| **Approval Date** |  | November 21, 2018 |
| **Approval Period** |  | January 1, 2019 – December 31, 2023 |
| **SUD (or if broader demonstration, then SUD Related) Demonstration Goals and Objectives** |  | • Increased rates of identification, initiation, and engagement in treatment  • Increased adherence to and retention in treatment • Reduced overdose deaths, particularly those due to opioids  • Reduced utilization of emergency departments and inpatient hospital settings for treatment where the utilization is preventable or medically inappropriate through improved access to other more appropriate and focused SUD use/misuse/abuse- related services  • Fewer readmissions to the same or higher level of care where the readmission is preventable or medically inappropriate  • Improved access to care for physical health conditions among beneficiaries |

**2. Proposed Modifications to SUD Narrative Information on Implementation, by Reporting Topic**

| **Summary of proposed modification** | **Related metric  (if any)** | **Justification for modification** |
| --- | --- | --- |
| **1. Assessment of Need and Qualification for SUD Services** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)*  *EXAMPLE*  *Additional topic of interest* |  | *Summarize how the proposed modification will alter reporting relative to the SUD Monitoring Report Template and provide reasoning why this modification is needed*  *EXAMPLE*  *In addition to reporting on the requested information, the state plans to report on progress on X implementation activity not currently listed in the report template. The state will add this activity as a new row to the “Narrative Information on Implementation” table in Part A of its Monitoring Reports.* |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **8. SUD Health Information Technology (Health IT)** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **9. Other SUD-related Metrics** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **10. Budget Neutrality** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **11. SUD-Related Demonstration Operations and Policy** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **12. SUD Demonstration Evaluation Update** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **13. Other Demonstration Reporting** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |
| **14. Notable State Achievements and/or Innovations** | | |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| *[Add rows as needed]* |  |  |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. | | |
| The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). | | |

**3. Acknowledgement of Budget Neutrality Reporting-**

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

**4. SUD Demonstration Monitoring Reporting Schedule**

Suggested reporting schedule for Alaska’s metrics and narrative information

Table A.1. Suggested reporting schedule for AK metrics and narrative information

(This Table is per CMS 3/24/2020 Feedback Appendix A)

| Dates of reporting quarter | Broader 1115 DY (if applicable) | SUD DY\* | Report due (per STCs schedule) | Measurement period associated with SUD information in report, by reporting category |
| --- | --- | --- | --- | --- |
| July 1, 2019 – September 30, 2019 | DY1 Q3 | DY1 Q1 | 11/29/2019 | * Protocol in development |
| October 1, 2019 –  December 31, 2019 | DY1 Q4 | DY1 Q2 | 3/30/2020 | * Narrative information for SUD DY1 Q2 |
| January 1, 2020 – March 31, 2020 | DY2 Q1 | DY1 Q3 | 5/30/2020 | * Narrative information for SUD DY1 Q3 |
| April 1, 2020 – June 30, 2020 | DY2 Q2 | DY1 Q4 | 8/29/2020 | * Narrative information for SUD DY1 Q4 * Grievances and appeals for SUD DY1 Q4 * Monthly and quarterly metrics for SUD DY1 Q3 * Annual metrics that are established quality measures (calculated for CY 2019) |
| July 1, 2020- September 30, 2020 | DY2 Q3 | DY2 Q1 | 11/29/2020 | * Narrative information for SUD DY2 Q1 * Grievances and appeals for SUD DY2 Q1 * Monthly and quarterly metrics for SUD DY1 Q4 * Annual CMS-constructed and state-identified metrics (calculated for SUD DY 1) * Retrospective reporting for (1) grievances and appeals for SUD DY1 Q1-Q3 and (2) monthly and quarterly metrics for SUD DY1 Q1 and Q2 |
| October 1, 2020- December 31, 2020 | DY2 Q4 | DY2 Q2 | 3/30/2021 | * Narrative information for SUD DY2 Q2 * Grievances and appeals for SUD DY2 Q2 * Monthly and quarterly metrics for SUD DY2 Q1 |
| January 1, 2021- March 31, 2021 | DY3 Q1 | DY2 Q3 | 5/30/2021 | * Narrative information for SUD DY2 Q3 * Grievances and appeals for SUD DY2 Q3 * Monthly and quarterly metrics for SUD DY2 Q2 |
| April 1, 2021- June 30, 2021 | DY3 Q2 | DY2 Q4 | 8/29/2021 | * Narrative information for SUD DY2 Q4 * Grievances and appeals for SUD DY2 Q4 * Monthly and quarterly metrics for SUD DY2 Q3 * Annual metrics that are established quality measures (calculated for CY 2020) |
| July 1, 2021- September 30, 2021 | DY3 Q3 | DY3 Q1 | 11/29/2021 | * Narrative information for SUD DY3 Q1 * Grievances and appeals for SUD DY3 Q1 * Monthly and quarterly metrics for SUD DY2 Q4 * Annual CMS-constructed and state-identified metrics (calculated for SUD DY 2) |
| October 1, 2021- December 31, 2021 | DY3 Q4 | DY3 Q2 | 3/30/2022 | * Narrative information for SUD DY3 Q2 * Grievances and appeals for SUD DY3 Q2 * Monthly and quarterly metrics for SUD DY3 Q1 |
| January 1, 2022- March 31, 2022 | DY4 Q1 | DY3 Q3 | 5/30/2022 | * Narrative information for SUD DY3 Q3 * Grievances and appeals for SUD DY3 Q3 * Monthly and quarterly metrics for SUD DY3 Q2 |
| April 1, 2022- June 30, 2022 | DY4 Q2 | DY3 Q4 | 8/29/2022 | * Narrative information for SUD DY3 Q4 * Grievances and appeals for SUD DY3 Q4 * Monthly and quarterly metrics for SUD DY3 Q3 * Annual metrics that are established quality measures (calculated for CY 2021) |
| July 1, 2022- September 30, 2022 | DY4 Q3 | DY4 Q1 | 11/29/2022 | * Narrative information for SUD DY4 Q1 * Grievances and appeals for SUD DY4 Q1 * Monthly and quarterly metrics for SUD DY3 Q4 * Annual CMS-constructed and state-identified metrics (calculated for SUD DY 3) |
| October 1, 2022- December 31, 2022 | DY4 Q4 | DY4 Q2 | 3/30/2023 | * Narrative information for SUD DY4 Q2 * Grievances and appeals for SUD DY4 Q2 * Monthly and quarterly metrics for SUD DY4 Q1 |
| January 1, 2023- March 31, 2023 | DY5 Q1 | DY4 Q3 | 5/30/2023 | * Narrative information for SUD DY4 Q3 * Grievances and appeals for SUD DY4 Q3 * Monthly and quarterly metrics for SUD DY4 Q2 |
| April 1, 2023- June 30, 2023 | DY5 Q2 | DY4 Q4 | 8/29/2023 | * Narrative information for SUD DY4 Q4 * Grievances and appeals for SUD DY4 Q4 * Monthly and quarterly metrics for SUD DY4 Q3 * Annual metrics that are established quality measures (calculated for CY 2022) |
| July 1, 2023- September 30, 2023 | DY5 Q3 | DY5 Q1 | 11/29/2023 | * Narrative information for SUD DY5 Q1 * Grievances and appeals for SUD DY5 Q1 * Monthly and quarterly metrics for SUD DY4 Q4 * Annual CMS-constructed and state-identified metrics (calculated for SUD DY 4) |
| October 1, 2023- December 31, 2023 | DY5 Q4 | DY5 Q2 | 3/30/2024 | * Narrative information for SUD DY5 Q2 * Grievances and appeals for SUD DY5 Q2 * Monthly and quarterly metrics for SUD DY5 Q1 |

\*The state noted that it began implementing its SUD demonstration on July 1, 2019. Given this, for purposes of reporting, the first year of the state’s SUD demonstration runs from July 1, 2019 – June 30, 2020. The “broader 1115 DY” column identifies the DY as originally defined in the STCs, for reference. The approval period for the current demonstration period ends December 31, 2020.